Please type or print in ink.

NAME OF FILER (LAST) Gutierrez
(FIRST) David
(MIDDLE) 

1. Office, Agency, or Court

Agency Name (Do not use acronyms)
Natural Resources Agency - Department of Conservation
Division, Board, Department, District, if applicable
Division of Oil, Gas and Geothermal Resources
Your Position
CEA

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: __________________ Position: ________________

2. Jurisdiction of Office (Check at least one box)

☑ State
□ Multi-County ____________________________
□ City of _________________________________

3. Type of Statement (Check at least one box)

☑ Annual: The period covered is January 1, 2018, through December 31, 2018.
- or-
The period covered is __/__/______, through December 31, 2018.

☑ Leaving Office: Date Left __/__/______

☐ Assuming Office: Date assumed __/__/______

□ Candidate: Date of Election ____________ and office sought, if different than Part 1: ______________

4. Schedule Summary (must complete) ► Total number of pages including this cover page: __

Schedules attached

☑ Schedule A-1 - Investments - schedule attached
☑ Schedule A-2 - Investments - schedule attached
☑ Schedule B - Real Property - schedule attached

☐ Schedule C - Income, Loans, & Business Positions - schedule attached
☐ Schedule D - Income - Gifts - schedule attached
☐ Schedule E - Income - Gifts - Travel Payments - schedule attached

- or- ☐ None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE
801 K St. Sacramento CA 95815

DAYTIME TELEPHONE NUMBER EMAIL ADDRESS
( 916 ) 322-9762 david.gutierrez@conservation.ca.gov

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 3/13/19 (month, day, year)
Signature ____________________________

(Fix the originally signed paper statement with your filing official.)
SCHEDULE A-1
Investments
Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Investments must be itemized.
Do not attach brokerage or financial statements.

NAME OF BUSINESS ENTITY
Ebay
GENERAL DESCRIPTION OF THIS BUSINESS
e-Commerce
FAIR MARKET VALUE
☐ $2,000 - $10,000
☐ $10,001 - $100,000
☐ $100,001 - $1,000,000
☐ Over $1,000,000
NATURE OF INVESTMENT
☑ Stock ☐ Other (Describe)
☐ Partnership ☐ Income Received of $0 - $499
☐ Income Received of $500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:
/ / 18
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Cisco
GENERAL DESCRIPTION OF THIS BUSINESS
Tech
FAIR MARKET VALUE
☑ $2,000 - $10,000
☐ $10,001 - $100,000
☐ $100,001 - $1,000,000
☐ Over $1,000,000
NATURE OF INVESTMENT
☑ Stock ☐ Other (Describe)
☐ Partnership ☐ Income Received of $0 - $499
☐ Income Received of $500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:
/ / 18
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
General Electric
GENERAL DESCRIPTION OF THIS BUSINESS
Conglomerate
FAIR MARKET VALUE
☑ $2,000 - $10,000
☐ $10,001 - $100,000
☐ $100,001 - $1,000,000
☐ Over $1,000,000
NATURE OF INVESTMENT
☑ Stock ☐ Other (Describe)
☐ Partnership ☐ Income Received of $0 - $499
☐ Income Received of $500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:
/ / 18
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Google
GENERAL DESCRIPTION OF THIS BUSINESS
Tech
FAIR MARKET VALUE
☐ $2,000 - $10,000
☐ $10,001 - $100,000
☐ $100,001 - $1,000,000
☐ Over $1,000,000
NATURE OF INVESTMENT
☑ Stock ☐ Other (Describe)
☐ Partnership ☐ Income Received of $0 - $499
☐ Income Received of $500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:
/ / 18
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Amazon
GENERAL DESCRIPTION OF THIS BUSINESS
e-Commerce
FAIR MARKET VALUE
☐ $2,000 - $10,000
☐ $10,001 - $100,000
☐ $100,001 - $1,000,000
☐ Over $1,000,000
NATURE OF INVESTMENT
☑ Stock ☐ Other (Describe)
☐ Partnership ☐ Income Received of $0 - $499
☐ Income Received of $500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:
/ / 18
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Magellan Midstream Partners
GENERAL DESCRIPTION OF THIS BUSINESS
Transports/stores/distributes petroleum product
FAIR MARKET VALUE
☐ $2,000 - $10,000
☐ $10,001 - $100,000
☐ $100,001 - $1,000,000
☐ Over $1,000,000
NATURE OF INVESTMENT
☑ Stock ☐ Other (Describe)
☐ Partnership ☐ Income Received of $0 - $499
☐ Income Received of $500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:
/ / 18
ACQUIRED DISPOSED

Comments:
### SCHEDULE A-1

**Investments**

*Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)*  
*Investments must be itemized. Do not attach brokerage or financial statements.*

<table>
<thead>
<tr>
<th>NAME OF BUSINESS ENTITY</th>
<th>GENERAL DESCRIPTION OF THIS BUSINESS</th>
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</thead>
<tbody>
<tr>
<td><strong>Sirius Radio</strong></td>
<td>Broadcasting</td>
</tr>
<tr>
<td><strong>Fair Market Value</strong></td>
<td>$2,000 - $10,000  $10,001 - $100,000</td>
</tr>
<tr>
<td><strong>Nature of Investment</strong></td>
<td>Stock Other (Describe)</td>
</tr>
<tr>
<td></td>
<td>Partnership  Income Received of $0 - $499</td>
</tr>
<tr>
<td></td>
<td>Income Received of $500 or More (Report on Schedule C)</td>
</tr>
<tr>
<td><strong>IF APPLICABLE, LIST DATE:</strong></td>
<td>/ / 18 ACQUIRED / / 18 DISPOSED</td>
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</tbody>
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<table>
<thead>
<tr>
<th>NAME OF BUSINESS ENTITY</th>
<th>GENERAL DESCRIPTION OF THIS BUSINESS</th>
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<tbody>
<tr>
<td><strong>Berkshire Hathaway</strong></td>
<td>Conglomerate</td>
</tr>
<tr>
<td><strong>Fair Market Value</strong></td>
<td>$2,000 - $10,000  $10,001 - $100,000</td>
</tr>
<tr>
<td><strong>Nature of Investment</strong></td>
<td>Stock Other (Describe)</td>
</tr>
<tr>
<td></td>
<td>Partnership  Income Received of $0 - $499</td>
</tr>
<tr>
<td></td>
<td>Income Received of $500 or More (Report on Schedule C)</td>
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<td><strong>IF APPLICABLE, LIST DATE:</strong></td>
<td>/ / 18 ACQUIRED / / 18 DISPOSED</td>
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<thead>
<tr>
<th>NAME OF BUSINESS ENTITY</th>
<th>GENERAL DESCRIPTION OF THIS BUSINESS</th>
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<tr>
<td><strong>Johnson and Johnson</strong></td>
<td>Pharmaceutical</td>
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<tr>
<td><strong>Fair Market Value</strong></td>
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</tr>
<tr>
<td><strong>Nature of Investment</strong></td>
<td>Stock Other (Describe)</td>
</tr>
<tr>
<td></td>
<td>Partnership  Income Received of $0 - $499</td>
</tr>
<tr>
<td></td>
<td>Income Received of $500 or More (Report on Schedule C)</td>
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<td><strong>IF APPLICABLE, LIST DATE:</strong></td>
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<tr>
<th>NAME OF BUSINESS ENTITY</th>
<th>GENERAL DESCRIPTION OF THIS BUSINESS</th>
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</thead>
<tbody>
<tr>
<td><strong>Citibank</strong></td>
<td>Banking</td>
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<tr>
<td><strong>Fair Market Value</strong></td>
<td>$2,000 - $10,000  $10,001 - $100,000</td>
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<td><strong>Nature of Investment</strong></td>
<td>Stock Other (Describe)</td>
</tr>
<tr>
<td></td>
<td>Partnership  Income Received of $0 - $499</td>
</tr>
<tr>
<td></td>
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<td><strong>IF APPLICABLE, LIST DATE:</strong></td>
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<th>GENERAL DESCRIPTION OF THIS BUSINESS</th>
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</thead>
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<tr>
<td><strong>Bank of America</strong></td>
<td>Energy, Oil and Gas</td>
</tr>
<tr>
<td><strong>Fair Market Value</strong></td>
<td>$2,000 - $10,000  $10,001 - $100,000</td>
</tr>
<tr>
<td><strong>Nature of Investment</strong></td>
<td>Stock Other (Describe)</td>
</tr>
<tr>
<td></td>
<td>Partnership  Income Received of $0 - $499</td>
</tr>
<tr>
<td></td>
<td>Income Received of $500 or More (Report on Schedule C)</td>
</tr>
<tr>
<td><strong>IF APPLICABLE, LIST DATE:</strong></td>
<td>/ / 18 ACQUIRED / / 18 DISPOSED</td>
</tr>
</tbody>
</table>

**Comments:**

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**FPPC Form 700 (2018/2019)**  
**FPPC Advice Email: advice@fppc.ca.gov**  
**FPPC Toll-Free Helpline: 866/275-3772 www.fppc.ca.gov**  
**Page - 7**
SCHEDULE A-1
Investments
Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Investments must be itemized.
Do not attach brokerage or financial statements.

NAME OF BUSINESS ENTITY
Facebook
GENERAL DESCRIPTION OF THIS BUSINESS
Tech - Social Networking

FAIR MARKET VALUE
☐ $2,000 - $10,000
☐ $10,001 - $100,000
☒ $100,001 - $1,000,000
☐ Over $1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other
☐ Partnership
☐ Income Received of $0 - $499
☐ Income Received of $500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
/ / 18

ACQUIRED
DISPOSED

NAME OF BUSINESS ENTITY
Baidu
GENERAL DESCRIPTION OF THIS BUSINESS
Tech

FAIR MARKET VALUE:
☐ $2,000 - $10,000
☐ $10,001 - $100,000
☒ $100,001 - $1,000,000
☐ Over $1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other
☐ Partnership
☐ Income Received of $0 - $499
☐ Income Received of $500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
/ / 18

ACQUIRED
DISPOSED

NAME OF BUSINESS ENTITY
Paypal
GENERAL DESCRIPTION OF THIS BUSINESS
Online payment processor

FAIR MARKET VALUE:
☐ $2,000 - $10,000
☐ $10,001 - $100,000
☒ $100,001 - $1,000,000
☐ Over $1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other
☐ Partnership
☐ Income Received of $0 - $499
☐ Income Received of $500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
/ / 18

ACQUIRED
DISPOSED

NAME OF BUSINESS ENTITY
Nike
GENERAL DESCRIPTION OF THIS BUSINESS
Sports apparel

FAIR MARKET VALUE:
☐ $2,000 - $10,000
☐ $10,001 - $100,000
☒ $100,001 - $1,000,000
☐ Over $1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other
☐ Partnership
☐ Income Received of $0 - $499
☐ Income Received of $500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
/ / 18

ACQUIRED
DISPOSED

NAME OF BUSINESS ENTITY
Coca Cola
GENERAL DESCRIPTION OF THIS BUSINESS
Soft drink

FAIR MARKET VALUE:
☒ $2,000 - $10,000
☐ $10,001 - $100,000
☐ $100,001 - $1,000,000
☐ Over $1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other
☐ Partnership
☐ Income Received of $0 - $499
☐ Income Received of $500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
/ / 18

ACQUIRED
DISPOSED

NAME OF BUSINESS ENTITY
Merck and Co., Inc
GENERAL DESCRIPTION OF THIS BUSINESS
Pharmaceutical

FAIR MARKET VALUE:
☒ $2,000 - $10,000
☐ $10,001 - $100,000
☐ $100,001 - $1,000,000
☐ Over $1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other
☐ Partnership
☐ Income Received of $0 - $499
☐ Income Received of $500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
/ / 18

ACQUIRED
DISPOSED

Comments:
### SCHEDULE A-1
**Investments**
**Stocks, Bonds, and Other Interests**
(Ownership Interest is Less Than 10%)  
*Investments must be itemized.
Do not attach brokerage or financial statements.*

<table>
<thead>
<tr>
<th>NAME OF BUSINESS ENTITY</th>
<th>GENERAL DESCRIPTION OF THIS BUSINESS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Intel</td>
<td></td>
</tr>
</tbody>
</table>

**FAIR MARKET VALUE**
- $2,000 - $10,000
- $10,001 - $100,000
- Over $1,000,000

**NATURE OF INVESTMENT**
- Stock
- Other (Describe)
- Partnership
- Income Received of $0 - $499
- Income Received of $500 or More (Report on Schedule C)

**IF APPLICABLE, LIST DATE:** 11/12/18

<table>
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<tr>
<th>NAME OF BUSINESS ENTITY</th>
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</tr>
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<tbody>
<tr>
<td>Microsoft</td>
<td></td>
</tr>
</tbody>
</table>

**FAIR MARKET VALUE**
- $2,000 - $10,000
- $10,001 - $100,000
- Over $1,000,000

**NATURE OF INVESTMENT**
- Stock
- Other (Describe)
- Partnership
- Income Received of $0 - $499
- Income Received of $500 or More (Report on Schedule C)

**IF APPLICABLE, LIST DATE:** 11/12/18

### Comments:
1. Office, Agency, or Court
   Agency Name: (Do not use acronyms)
   Department of Conservation
   Division, Board, Department, District, if applicable
   Division of Oil, Gas, and Geothermal
   Your Position
   Senior Oil and Gas Engineer (Supervisor)
   ▶ If filing for multiple positions, list below or on an attachment. (Do not use acronyms)
   Agency: ____________________________
   Position: __________________________

2. Jurisdiction of Office (Check at least one box)
   ☒ State
   ☐ Multi-County ____________________________
   ☐ County of ____________________________
   ☐ City of __________________________
   ☐ Other ____________________________

3. Type of Statement (Check at least one box)
   ☒ Annual: The period covered is January 1, 2018, through December 31, 2018.
   - or - The period covered is _______/_____/______, through December 31, 2018.
   ☐ Assuming Office: Date assumed _______/_____/______, through December 31, 2018.
   ☐ Leaving Office: Date Left _______/_____/______
   (Check one circle.)
   ▶ The period covered is January 1, 2018, through the date of leaving office.
   - or - The period covered is _______/_____/______, through the date of leaving office.
   ☐ Candidate: Date of Election _______/_____/______ and office sought, if different than Part 1:

4. Schedule Summary (must complete) ▶ Total number of pages including this cover page: 5
   Schedules attached
   ☒ Schedule A-1 - Investments -- schedule attached
   ☒ Schedule A-2 - Investments -- schedule attached
   ☐ Schedule B - Real Property -- schedule attached
   ☐ Schedule C - Income, Loans, & Business Positions -- schedule attached
   ☐ Schedule D - Income - Gifts -- schedule attached
   ☐ Schedule E - Income - Gifts - Travel Payments -- schedule attached
   - or - ☐ None - No reportable interests on any schedule

5. Verification
   Mailing Address
   195 S. Broadway
   Orcutt, CA 93455
   (805) 465-9641
   tom.goeres@conservation.ca.gov
   I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.
   I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.
   Date Signed March 29, 2019
   (month, day, year)
   Signature (File the originally signed paper statement with your filing official)
## SCHEDULE A-1

**Investments**

Stocks, Bonds, and Other Interests  
(Ownership Interest is Less Than 10%)  
*Do not attach brokerage or financial statements.*

<BLUE> is a required field

<table>
<thead>
<tr>
<th>NAME OF BUSINESS ENTITY</th>
<th>GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY</th>
<th>FAIR MARKET VALUE</th>
<th>NATURE OF INVESTMENT</th>
<th>IF APPLICABLE, LIST DATE (mm/dd/yyyy)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Adobe Systems Inc</td>
<td>Information Technology</td>
<td>$10,001 - $100,000</td>
<td>Stock</td>
<td></td>
</tr>
<tr>
<td>Alibaba Group Holding LTD ADR</td>
<td>Information Technology</td>
<td>$10,001 - $100,000</td>
<td>Stock</td>
<td></td>
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<tr>
<td>Alphabet Inc</td>
<td>Information Technology</td>
<td>$10,001 - $100,000</td>
<td>Stock</td>
<td></td>
</tr>
<tr>
<td>Amazon Com INC</td>
<td>Consumer Discretionary</td>
<td>$10,001 - $100,000</td>
<td>Stock</td>
<td></td>
</tr>
<tr>
<td>Anheuser-Busch Inbev SA/NV</td>
<td>Consumer Staples</td>
<td>$10,001 - $100,000</td>
<td>Stock</td>
<td></td>
</tr>
<tr>
<td>Apple Inc</td>
<td>Information Technology</td>
<td>$10,001 - $100,000</td>
<td>Stock</td>
<td></td>
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<tr>
<td>Astrazeneca PLC</td>
<td>Health Care</td>
<td>$10,001 - $100,000</td>
<td>Stock</td>
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<td>Banco Santander SA</td>
<td>Financials</td>
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<tr>
<td>BASF SE</td>
<td>Materials</td>
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<tr>
<td>Bayer A G</td>
<td>Health Care</td>
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<td>BNP Paribas</td>
<td>Financials</td>
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<td>Financials</td>
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<td>Consumer Discretionary</td>
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<td>ExxonMobil</td>
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<td>Materials</td>
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<td>Hitachi LTD</td>
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<td>Home Depot INC</td>
<td>Consumer Discretionary</td>
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<tr>
<td>Honeywell Intl INC</td>
<td>Industrials</td>
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<td>Stock</td>
<td></td>
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</table>
## SCHEDULE A-1
### Investments
Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Do not attach brokerage or financial statements.

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<table>
<thead>
<tr>
<th>NAME OF BUSINESS ENTITY</th>
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<th>FAIR MARKET VALUE</th>
<th>NATURE OF INVESTMENT</th>
<th>IF APPLICABLE, LIST DATE (mm/dd/yyyy)</th>
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<tbody>
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<td>Stock</td>
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<td>ING Groep N V</td>
<td>Financials</td>
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<td>Stock</td>
<td></td>
</tr>
<tr>
<td>Intel Corporation</td>
<td>Information Technology</td>
<td>$10,001 - $100,000</td>
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<td>Intesa Sanpaolo S P A</td>
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<td>Stock</td>
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<tr>
<td>Johnson &amp; Johnson</td>
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<td>Stock</td>
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<td>Consumer Staples</td>
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<td>Stock</td>
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<td>Stock</td>
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<tr>
<td>Microsoft Corp</td>
<td>Information Technology</td>
<td>$10,001 - $100,001</td>
<td>Stock</td>
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<td>Industrials</td>
<td>$2,000 - $10,001</td>
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<tr>
<td>Nestle S A</td>
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<td>$10,001 - $100,001</td>
<td>Stock</td>
<td></td>
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<tr>
<td>Novo-Nordisk A S</td>
<td>Health Care</td>
<td>$10,001 - $100,000</td>
<td>Stock</td>
<td></td>
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<td>Pfizer Incorporated</td>
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<td>$10,001 - $100,001</td>
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<td>Procter &amp; Gamble</td>
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<tr>
<td>Rio Tinto PLC F</td>
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<td>7/9/2018</td>
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<td>Roche HLDG LTD</td>
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<td>7/9/2018</td>
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<td>Sanofi Spond</td>
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<td>$10,001 - $100,000</td>
<td>Stock</td>
<td></td>
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<td>Schlumberger</td>
<td>Information Technology - Energy</td>
<td>$10,001 - $100,000</td>
<td>Stock</td>
<td></td>
</tr>
<tr>
<td>NAME OF BUSINESS ENTITY</td>
<td>GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY</td>
<td>FAIR MARKET VALUE (Select from dropdown list)</td>
<td>NATURE OF INVESTMENT</td>
<td>IF APPLICABLE, LIST DATE ACQUIRED</td>
</tr>
<tr>
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<td>------------------------------------------------</td>
<td>-----------------------------------------------</td>
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<td>Stock</td>
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<td>Taiwan Semiconductor MFG L</td>
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<td>$10,001 - $100,000</td>
<td>Stock</td>
<td></td>
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<td>Tencent Holdings Limited</td>
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<td>$10,001 - $100,000</td>
<td>Stock</td>
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<td>UBS Group AG</td>
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<td>Stock</td>
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<td>$10,001 - $100,000</td>
<td>Stock</td>
<td>9/25/2018</td>
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<td>Vodafone Group PLC New</td>
<td>Telecom Services</td>
<td>$10,001 - $100,000</td>
<td>Stock</td>
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<tr>
<td>Wal-Mart Stores Inc</td>
<td>Consumer Staples</td>
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<td></td>
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<tr>
<td>Yaskawa Electric Corp</td>
<td>Information Technology</td>
<td>$2,000 - $10,001</td>
<td>Stock</td>
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</table>
SCHEDULE A-2
Investments, Income, and Assets of Business Entities/Trusts
(Ownership Interest is 10% or Greater)

* Select from drop down list

| NAME AND ADDRESS OF BUSINESS ENTITY OR TRUST (Business Address Acceptable) (If Trust, go to 2) | GENERAL DESCRIPTION OF BUSINESS ACTIVITY | FAIR MARKET VALUE* | LIST DATE ACQUIRED OR DISPOSED (mm/dd/yyyy) | A or D | NATURE OF INVESTMENT (if other, describe)* | YOUR BUSINESS POSITION | INCLUDE YOUR PRO RATA SHARE OF GROSS INCOME TO ENTITY/TRUST* | 2. GROSS INCOME RECEIVED | 3. SOURCES OF INCOME OF $10,000 OR MORE | 4. INVESTMENTS OR INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST (Enter separate line for each investment or real property owned.) | REAL PROPERTY LIST PRECISE LOCATION OF REAL PROPERTY | FAIR MARKET VALUE* | LIST DATE ACQUIRED OR DISPOSED (mm/dd/yyyy) | A or D | NATURE OF INTEREST (if other, describe)* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Thomas B. Goeres and Debra J. Goeres Living Trust | no business/Personal trust | | | | | | | | | | | | | | | |
| 3M Company | | | | | | | | | | | | | | | Stock |
| ASML Holding N V | | | | | | | | | | | | | | | Stock |
| NYS | | | | | | | | | | | | | | | Stock |
| Barclays PLC | | | | | | | | | | | | | | | Stock |
| Chevron | | | | | | | | | | | | | | | Stock |
| Daimler AG | | | | | | | | | | | | | | | Stock |
| Unisparc ADR | | | | | | | | | | | | | | | Stock |
| ING Groep N V | | | | | | | | | | | | | | | Stock |
| Royal Dutch Shell | | | | | | | | | | | | | | | Stock |

FPPC Toll-Free Helpline: 866/ASK-FPPC www.fppc.ca.gov
CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

STATEMENT OF ECONOMIC INTERESTS

COVER PAGE

A PUBLIC DOCUMENT

NAME OF FILER

NAME (LAST)
ABU
NICHOLAS
BABATUNDE

Name (FIRST)

MIDDLE

Date Initial Filing Received
2015-11-23

Official Use Only

NAME OF FILER (LAST)

Name (MIDDLE)

1. Office, Agency, or Court

Agency Name (Do not use acronyms)

NATURAL RESOURCES

Division, Board, Department, District, if applicable

DEPARTMENT OF CONSERVATION

Your Position

SENIOR OIL & GAS ENGINEER (SUPERVISOR)

If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency:

Position:

2. Jurisdiction of Office (Check at least one box)

☐ State

☐ Multi-County

☐ City of SACRAMENTO

☐ Judge or Court Commissioner (Statewide Jurisdiction)

☐ County of

☐ Other

3. Type of Statement (Check at least one box)

☐ Annual: The period covered is January 1, 2018, through December 31, 2018.

☐ Leaving Office: Date Left / / (Check one circle.)

☐ The period covered is January 1, 2018, through the date of leaving office.

☐ The period covered is / / through the date of leaving office.

☐ Assuming Office: Date assumed / / 2018

☐ Candidate: Date of Election / / 2018 and office sought, if different than Part 1:

4. Schedule Summary (must complete) Total number of pages including this cover page: 3

Schedules attached

☐ Schedule A-1 - Investments - schedule attached

☐ Schedule A-2 - Investments - schedule attached

☐ Schedule B - Real Property - schedule attached

☐ Schedule C - Income, Loans, & Business Positions - schedule attached

☐ Schedule D - Income - Gifts - schedule attached

☐ Schedule E - Income - Gifts - Travel Payments - schedule attached

☐ None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS

STREET

CITY

STATE

ZIP CODE

801 K STREET

SACRAMENTO

CA

95814

DAYTIME TELEPHONE NUMBER

(916) 862-1474

EMAIL ADDRESS

Nicholas.Abu@conservation.ca.gov

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed

March 29, 2019

(month, day, year)

Signature

(Scan the originally signed paper statement with your filing official.)
# SCHEDULE A-2
Investments, Income, and Assets of Business Entities/Trusts
(Ownership Interest is 10% or Greater)

## 1. BUSINESS ENTITY OR TRUST

**Name:** Sandstones Reservoir Solutions  
**Address (Business Address Acceptable):** 10812 Villa Hermosa Drive, Bakersfield, CA 93311

Check one:  
- [ ] Trust, go to 2  
- [ ] Business Entity, complete the box, then go to 2

### GENERAL DESCRIPTION OF THIS BUSINESS

**Oil & Gas Consulting**

<table>
<thead>
<tr>
<th>Fair Market Value</th>
<th>IF APPLICABLE, LIST DATE:</th>
</tr>
</thead>
<tbody>
<tr>
<td>$0 - $1,999</td>
<td>/ 18</td>
</tr>
<tr>
<td>$2,000 - $10,000</td>
<td>/ 18</td>
</tr>
<tr>
<td>$10,001 - $100,000</td>
<td>/ 18</td>
</tr>
<tr>
<td>$100,001 - $1,000,000</td>
<td>/ 18</td>
</tr>
<tr>
<td>Over $1,000,000</td>
<td>/ 18</td>
</tr>
</tbody>
</table>

**Nature of Investment:**  
- [x] Partnership  
- [ ] Sole Proprietorship  
- [ ] Other

**Your Business Position:**  
- [ ] Owner

### 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

<table>
<thead>
<tr>
<th>Income Range</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>$0 - $499</td>
<td></td>
</tr>
<tr>
<td>$500 - $1,000</td>
<td></td>
</tr>
<tr>
<td>$1,001 - $10,000</td>
<td></td>
</tr>
</tbody>
</table>

### 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF $10,000 OR MORE (Attach a separate sheet if necessary)

- [ ] None
- [ ] Names listed below

### 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:  
- [ ] Investment  
- [ ] Real Property

**Name of Business Entity, if Investment, or Assessor’s Parcel Number or Street Address of Real Property**

**Description of Business Activity or City or Other Precise Location of Real Property**

<table>
<thead>
<tr>
<th>Fair Market Value</th>
<th>IF APPLICABLE, LIST DATE:</th>
</tr>
</thead>
<tbody>
<tr>
<td>$2,000 - $10,000</td>
<td>/ 18</td>
</tr>
<tr>
<td>$10,001 - $100,000</td>
<td>/ 18</td>
</tr>
<tr>
<td>$100,001 - $1,000,000</td>
<td>/ 18</td>
</tr>
<tr>
<td>Over $1,000,000</td>
<td>/ 18</td>
</tr>
</tbody>
</table>

**Nature of Interest:**  
- [ ] Property Ownership/Deed of Trust  
- [ ] Stock  
- [ ] Partnership

- [ ] Leasehold  
- [ ] Yrs. remaining  
- [ ] Other

- [ ] Check box if additional schedules reporting investments or real property are attached

**Comments:**

---

**CALIFORNIA FORM 700**

**FAIR POLITICAL PRACTICES COMMISSION**

**Name:**

---

**FPPC Form 700 (2018/2019)**

**FPPC Advice Email: advice@fppc.ca.gov**

**FPPC Toll-Free Helpline: 866/275-3772 www.fppc.ca.gov**

**Page - 9**
SCHEDULE C
Income, Loans, & Business Positions
(Other than Gifts and Travel Payments)

1. INCOME RECEIVED

NAME OF SOURCE OF INCOME

SALARY

ADDRESS (Business Address Acceptable)
2512 17TH Place South, Homewood, AL 35209

BUSINESS ACTIVITY, IF ANY, OF SOURCE

YOUR BUSINESS POSITION

GROSS INCOME RECEIVED
☐ No Income - Business Position Only
☐ $500 - $1,000
☐ $1,001 - $10,000
☐ $10,001 - $100,000
☐ OVER $100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
☐ Salary
☐ Spouse’s or registered domestic partner’s income (For self-employed use Schedule A-2.)
☐ Partnership (Less than 10% ownership. For 10% or greater use Schedule A-2.)
☐ Sale of __________________________ (Real property, car, boat, etc.)
☐ Loan repayment
☐ Commission or ☐ Rental Income, list each source of $10,000 or more
☐ Other __________________________

2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD

* You are not required to report loans from a commercial lending institution, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender’s regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender’s regular course of business must be disclosed as follows:

NAME OF LENDER

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF LENDER

HIGHEST BALANCE DURING REPORTING PERIOD
☐ $500 - $1,000
☐ $1,001 - $10,000
☐ $10,001 - $100,000
☐ OVER $100,000

INTEREST RATE

TERM (Months/Years)

☐ % ☐ None

SECURITY FOR LOAN
☐ None
☐ Personal residence

Real Property __________________________ Street Address

City __________________________

Guarantor __________________________

Other __________________________ (Describe)

Comments: __________________________
CALIFORNIA FORM 700
STATEMENT OF ECONOMIC INTERESTS
FAIR POLITICAL PRACTICES COMMISSION

COVER PAGE

A PUBLIC DOCUMENT

NAME OF FILER (LAST) (FIRST) (MIDDLE)
Abel Patricia

1. Office, Agency, or Court

Agency Name (Do not use acronyms)
Dept. of Conservation, Division of Oil, Gas and Geothermal Resources
District Deputy
Division, Board, Department, District, if applicable
Your Position

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)
Agency: _____________________________ Position: _____________________________

2. Jurisdiction of Office (Check at least one box)

☐ State
☒ Multi-County Santa Cruz, Santa Clara, San Benito, Monterey, San Luis Obispo, Santa Barbara
☐ County of _____________________________
☐ Other Ventura, and northern Los Angeles County

3. Type of Statement (Check at least one box)

☒ Annual: The period covered is January 1, 2018, through December 31, 2018.
☐ Leaving Office: Date Left / / (Check one circle.)
- or -
The period covered is / / through December 31, 2018.
☐ The period covered is January 1, 2018, through the date of leaving office.
- or -
The period covered is / / through the date of leaving office.
☐ Assuming Office: Date assumed / / _____________________________
☐ Candidate: Date of Election _____________________________ and office sought, if different than Part 1: _____________________________

4. Schedule Summary (must complete) ► Total number of pages including this cover page: 8

Schedules attached

☒ Schedule A-1 - Investments - schedule attached
☒ Schedule A-2 - Investments - schedule attached
☒ Schedule B - Real Property - schedule attached
☒ Schedule C - Income, Loans, & Business Positions - schedule attached
☐ Schedule D - Income - Gifts - schedule attached
☐ Schedule E - Income - Gifts - Travel Payments - schedule attached

- or - ☐ None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS
195 South Broadway, Ste 101
Orcutt CA 93455

STREET

CITY STATE ZIP CODE

(Business or Agency Address Recommended - Public Document)

DAYTIME TELEPHONE NUMBER
(805) 465-9642

EMAIL ADDRESS
Pat.Abel@conservation.ca.gov

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed: February 19, 2019

Signature: _____________________________

(Please retain the original signed paper statement with your filing official)
### SCHEDULE A-1
Investments

**Stocks, Bonds, and Other Interests**

*(Ownership Interest is Less Than 10%)*

*Investments must be itemized. Do not attach brokerage or financial statements.*

<table>
<thead>
<tr>
<th>NAME OF BUSINESS ENTITY</th>
<th>General Description of This Business</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Automatic Data Processing</strong></td>
<td>Data Processing</td>
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<tr>
<td><strong>ConocoPhillips</strong></td>
<td>Energy</td>
</tr>
<tr>
<td><strong>Carnival Corp</strong></td>
<td>Leisure</td>
</tr>
<tr>
<td><strong>Pepsico</strong></td>
<td>Beverage &amp; fast food</td>
</tr>
<tr>
<td><strong>CDK Global</strong></td>
<td>Integrated info technology &amp; digital marketing</td>
</tr>
<tr>
<td><strong>Phillips 66</strong></td>
<td></td>
</tr>
</tbody>
</table>

**FAIR MARKET VALUE**

- [ ] $2,000 - $10,000
- [ ] $10,001 - $100,000
- [X] Stock
- [ ] Other
- [ ] Partnership
- [ ] Income Received of $0 - $499
- [ ] Income Received of $500 or More (Describe)

**NATURE OF INVESTMENT**

- [X] Stock
- [ ] Other
- [ ] Partnership
- [ ] Income Received of $0 - $499
- [ ] Income Received of $500 or More (Describe)

**IF APPLICABLE, LIST DATE:**

- / / 18
- / / 18
- / / 18
- / / 18
- / / 18

Comments:

Currently there is no active or idle wells owned by these energy companies in my jurisdiction.
<table>
<thead>
<tr>
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<th>GENERAL DESCRIPTION OF THIS BUSINESS</th>
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<tbody>
<tr>
<td>Yum Brands Inc.</td>
<td>Fast food &amp; snacks</td>
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<tr>
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<tr>
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<td>$2,000 - $10,000</td>
</tr>
<tr>
<td></td>
<td>$10,001 - $100,000</td>
</tr>
<tr>
<td></td>
<td>$100,001 - $1,000,000</td>
</tr>
<tr>
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<td>Over $1,000,000</td>
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<td>NATURE OF INVESTMENT</td>
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<td></td>
<td>Other</td>
</tr>
<tr>
<td></td>
<td><strong>Income Received of $0 - $499</strong></td>
</tr>
<tr>
<td></td>
<td><strong>Income Received of $500 or More</strong></td>
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<tr>
<td>IF APPLICABLE, LIST DATE:</td>
<td>ACQUIRED 18 DISPOSED 18</td>
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<tr>
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<tbody>
<tr>
<td>Intel</td>
<td>Computer equipment</td>
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<td><strong>FAIR MARKET VALUE</strong></td>
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<tr>
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<td>Other</td>
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<tr>
<td>IF APPLICABLE, LIST DATE:</td>
<td>ACQUIRED 18 DISPOSED 18</td>
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</table>

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<tr>
<th>NAME OF BUSINESS ENTITY</th>
<th>GENERAL DESCRIPTION OF THIS BUSINESS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Microsoft Corp.</td>
<td>Computer equipment &amp; software</td>
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<tr>
<td></td>
<td><strong>FAIR MARKET VALUE</strong></td>
</tr>
<tr>
<td></td>
<td>$2,000 - $10,000</td>
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<td>$100,001 - $1,000,000</td>
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<td>Over $1,000,000</td>
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<tr>
<td>NATURE OF INVESTMENT</td>
<td>Stock</td>
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<td></td>
<td>Other</td>
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<tr>
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<tr>
<td>IF APPLICABLE, LIST DATE:</td>
<td>ACQUIRED 18 DISPOSED 18</td>
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</tbody>
</table>

<table>
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<tr>
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<td>Internet</td>
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<td><strong>FAIR MARKET VALUE</strong></td>
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<tr>
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<td>$100,001 - $1,000,000</td>
</tr>
<tr>
<td></td>
<td>Over $1,000,000</td>
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<tr>
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<td>Stock</td>
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<tr>
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<td>Other</td>
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<tr>
<td>IF APPLICABLE, LIST DATE:</td>
<td>ACQUIRED 18 DISPOSED 18</td>
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</tbody>
</table>

Comments:
<table>
<thead>
<tr>
<th>NAME OF BUSINESS ENTITY</th>
<th>General Description of This Business</th>
</tr>
</thead>
<tbody>
<tr>
<td>Annaply Cap Mgmt Inc. Co.</td>
<td>Real Estate Trust</td>
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<tr>
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<tr>
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<td>Stock</td>
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<td>Partnership</td>
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<tr>
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<td>/ / 18</td>
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<td>/ / 18</td>
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<tr>
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<tr>
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</table>
STATEMENT OF ECONOMIC INTERESTS

COVER PAGE

A PUBLIC DOCUMENT

NAME OF FILER
Boyd

(FIRST) Richard

(MIDDLE) W

1. Office, Agency, or Court
Agency Name (Do not use acronyms)

Resources
Division, Board, Department, District, if applicable
Dept Conservation, Division Oil Gas Geothermal Resources

Your Position
Senior Oil & Gas Engineer, Supervisor

If filing for multiple positions, list below or on an attachment. (Do not use acronyms)
Agency: ____________________________ Position: ____________________________

2. Jurisdiction of Office (Check at least one box)

☒ State
☐ Multi-County ____________________________
☐ City of ____________________________
☐ County of ____________________________
☐ Other ____________________________

3. Type of Statement (Check at least one box)

☒ Annual: The period covered is January 1, 2018, through December 31, 2018.
☐ Leaving Office: Date Left __/__/______
☐ The period covered is ____________________________, through December 31, 2018.
☐ The period covered is ____________________________, through the date of leaving office.

☐ Assuming Office: Date assumed __/__/______

☐ Candidate: Date of Election ____________________________ and office sought, if different than Part 1: ____________________________

☐ Non-reportable interests on any schedule

4. Schedule Summary (must complete)

Total number of pages including this cover page: 7

Schedules attached
☒ Schedule A-1 - Investments - schedule attached
☒ Schedule A-2 - Investments - schedule attached
☒ Schedule B - Real Property - schedule attached
☒ Schedule C - Income, Loans, & Business Positions - schedule attached
☒ Schedule D - Income - Gifts - schedule attached
☒ Schedule E - Income - Gifts - Travel Payments - schedule attached

☐ None - No reportable interests on any schedule

5. Verification
MAILING ADDRESS
801 K Street, MS 20-20

STREET

CITY
801 K Street

STATE
MS

ZIP CODE
20-20

DAYTIME TELEPHONE NUMBER
(916) 322-9779

EMAIL ADDRESS
richard.boyd@conservation.ca.gov

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 3/26/2019

Signature ____________________________
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<tr>
<th>NAME OF BUSINESS ENTITY</th>
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<td>Income Received of $500 or More (Report on Schedule C)</td>
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<td></td>
<td>$2,000 - $10,000</td>
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<td>Income Received of $0 - $499</td>
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<td>VIPER ENERGY PARTNERS (VNOM)</td>
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Comments:
STATEMENT OF ECONOMIC INTERESTS

COVER PAGE

A PUBLIC DOCUMENT

NAME OF FILER (LAST) (FIRST) MIDDLE INITIAL

Okoroike Simeon

1. Office, Agency, or Court

Agency Name (Do not use acronyms)

Department of Conservation

Division, Board, Department, District, if applicable

Division of Oil, Gas and Geothermal Resources

Your Position

Supervising Oil and Gas Engineer

If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: ____________________________ Position: ____________________________

2. Jurisdiction of Office (Check at least one box)

☐ State

☐ Multi-County

☐ City of

☐ Judge or Court Commissioner (Statewide Jurisdiction)

☐ County of

☐ Other

3. Type of Statement (Check at least one box)

☐ Annual: The period covered is January 1, 2018, through December 31, 2018.

☐ Leaving Office: Date Left _____/_____/_____

☐ The period covered is January 1, 2018, through the date of leaving office.

☐ -or-

☐ The period covered is _____/_____/_____, through the date of leaving office.

☐ Assuming Office: Date assumed _____/_____/_____

☐ Candidate: Date of Election ______ and office sought, if different than Part 1:

☐ -or-

☐ None - No reportable interests on any schedule

4. Schedule Summary (must complete) Total number of pages including this cover page: 3

☐ Schedules attached

☐ Schedule A-1 - Investments - schedule attached

☐ Schedule A-2 - Investments - schedule attached

☐ Schedule B - Real Property - schedule attached

☐ Schedule C - Income, Loans, & Business Positions - schedule attached

☐ Schedule D - Income - Gifts - schedule attached

☐ Schedule E - Income - Gifts - Travel Payments - schedule attached

☐ -or-

☐ None - No reportable interests on any schedule

5. Verification

MAKING ADDRESS STREET CITY STATE ZIP CODE

[Business or Agency Address Recommended - Public Document]

DAYTIME TELEPHONE NUMBER ( ) _________

EMAIL ADDRESS

( 916 ) 322-9764

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed March 25, 2019

(month, day, year) Signatures

(Fire the originally signed paper statement with your filing official)
<table>
<thead>
<tr>
<th>Name of Business Entity</th>
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</thead>
<tbody>
<tr>
<td><strong>Bank of America</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Bausch Health Companies</strong></td>
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<td><strong>Facebook</strong></td>
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<td><strong>Social Mewdia</strong></td>
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<td><strong>Valero</strong></td>
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</tr>
<tr>
<td><strong>Twitter</strong></td>
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<td><strong>Abeona Therapeutics Inc</strong></td>
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<td>(Describe)</td>
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<td>Partnership</td>
<td>Income Received of $0 - $499</td>
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<tr>
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<td>Income Received of $500 or More (Report on Schedule C)</td>
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**Acquired:** 18 / 18  **Disposed:** 18 / 18

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<td>(Describe)</td>
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**Acquired:** 18 / 18  **Disposed:** 18 / 18

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**Acquired:** 18 / 18  **Disposed:** 18 / 18

Comments:
CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

STATEMENT OF ECONOMIC INTERESTS

COVER PAGE

A PUBLIC DOCUMENT

NAME OF FILER
Phillips

DATE INITIALLY FILED
2019 FEB 13 AM 1:21

OFFICIAL USE ONLY

Filing Officer

1. Office, Agency, or Court

Agency Name (Do not use acronyms)
Department of Conservation

Division, Board, Department, District, if applicable
Oil, Gas and Geothermal Resources, Southern District

Your Position
Senior Oil and Gas Engineer

If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: ____________________________ Position: ____________________________

2. Jurisdiction of Office (Check at least one box)

☒ State
☐ Multi-County
☐ City of

☐ Judge or Court Commissioner (Statewide Jurisdiction)
☐ County of ________________
☐ Other ______________________

3. Type of Statement (Check at least one box)

☒ Annual: The period covered is January 1, 2018, through December 31, 2018.
☐ Leaving Office: Date Left __________/__________/__________ (Check one circle.)

☐ The period covered is January 1, 2018, through the date of leaving office.

☐ -or-

☐ The period covered is __________/__________/__________, through December 31, 2018.

☐ -or-

☐ Assuming Office: Date assumed __________/__________/__________

☐ Candidate: Date of Election ________________ and office sought, if different than Part 1:

☐ -or-

☐ None - No reportable interests on any schedule

4. Schedule Summary (must complete) ▶ Total number of pages including this cover page: 2

Schedules attached

☒ Schedule A-1 - Investments - schedule attached
☐ Schedule A-2 - Investments - schedule attached
☐ Schedule B - Real Property - schedule attached

☐ Schedule C - Income, Loans, & Business Positions - schedule attached
☐ Schedule D - Income - Gifts - schedule attached
☐ Schedule E - Income - Gifts - Travel Payments - schedule attached

☐ -or-

☐ None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS
3780 Kilroy Way
Long Beach, CA 90806

STREET

CITY

STATE

ZIP CODE

(Business or Agency Address Recommended - Public Document)

DAYTIME TELEPHONE NUMBER
(714) 822-9422

EMAIL ADDRESS
Chris.Phillips@conservation.ca.gov

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 02/12/2019

Signature _______________________ (File the originally signed paper statement with your filing officer)

Form 700 (2015/2019)
FPPC Toll-Free Helpline: 866/275-3772 www.fppc.ca.gov
Page - 5
### SCHEDULE A-1
Investments
Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Investments must be itemized.
Do not attach brokerage or financial statements.

<table>
<thead>
<tr>
<th>NAME OF BUSINESS ENTITY</th>
<th>GENERAL DESCRIPTION OF THIS BUSINESS</th>
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<tr>
<td>Occidental Petroleum Corp.</td>
<td>Production, Exploration Oil and Gas</td>
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**FAIR MARKET VALUE**

- $2,000 - $10,000
- $10,001 - $100,000
- $100,001 - $1,000,000
- Over $1,000,000

**NATURE OF INVESTMENT**

- Stock
- Other
- (Describe)

- Partnership
  - Income Received of $0 - $499
  - Income Received of $500 or More (Report on Schedule C)

**IF APPLICABLE, LIST DATE:**

/ / 18
ACQUIRED
DISPOSED

<table>
<thead>
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<th>NAME OF BUSINESS ENTITY</th>
<th>GENERAL DESCRIPTION OF THIS BUSINESS</th>
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**FAIR MARKET VALUE**

- $2,000 - $10,000
- $10,001 - $100,000
- $100,001 - $1,000,000
- Over $1,000,000

**NATURE OF INVESTMENT**

- Stock
- Other
- (Describe)

- Partnership
  - Income Received of $0 - $499
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**IF APPLICABLE, LIST DATE:**

/ / 18
ACQUIRED
DISPOSED

<table>
<thead>
<tr>
<th>NAME OF BUSINESS ENTITY</th>
<th>GENERAL DESCRIPTION OF THIS BUSINESS</th>
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**FAIR MARKET VALUE**

- $2,000 - $10,000
- $10,001 - $100,000
- $100,001 - $1,000,000
- Over $1,000,000

**NATURE OF INVESTMENT**

- Stock
- Other
- (Describe)

- Partnership
  - Income Received of $0 - $499
  - Income Received of $500 or More (Report on Schedule C)

**IF APPLICABLE, LIST DATE:**

/ / 18
ACQUIRED
DISPOSED

Comments:
STATEMENT OF ECONOMIC INTERESTS

COVER PAGE

NAME OF FILER
(WARDLOW, Charlene)

1. Office, Agency, or Court

Agency Name (Do not use acronyms)
Department of Conservation
Division, Board, Department, District, if applicable
Division of Oil, Gas, and Geothermal Resources & District Deputy

If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: ____________________________ Position: ____________________________

2. Jurisdiction of Office (Check at least one box)

☐ State
☐ Multi-County
☐ City of
☐ County of
☐ Other

☐ Judge or Court Commissioner (Statewide Jurisdiction)

3. Type of Statement (Check at least one box)

☐ Annual: The period covered is January 1, 2018, through December 31, 2018.
☐ The period covered is __________, through December 31, 2018.
☐ Leaving Office: Date Left ____________
☐ The period covered is January 1, 2018, through the date of leaving office.
☐ The period covered is __________, through the date of leaving office.

☐ Assuming Office: Date assumed ____________

☐ Candidate: Date of Election ____________ and office sought, if different than Part 1:

4. Schedule Summary (must complete) ► Total number of pages including this cover page: 8

Schedules attached

☐ Schedule A-1 - Investments – schedule attached
☐ Schedule A-2 - Investments – schedule attached
☐ Schedule B - Real Property – schedule attached
☐ Schedule C - Income, Loans, & Business Positions – schedule attached
☐ Schedule D - Income – Gifts – schedule attached
☐ Schedule E - Income – Gifts – Travel Payments – schedule attached

☐ None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS
801 K Street MS 18-05 Sacramento CA 95814

STREET
(City or Agency Address Recommended - Public Document)

CITY
STATE
ZIP CODE

(916) 324-7120

E-MAIL ADDRESS
charlene.wardlow@conservation.ca.gov

DAYTIME TELEPHONE NUMBER

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed: March 11, 2019

(month, day, year)

Signature: Charlene Wardlow

(File the originally signed paper statement with your filing official.)
### SCHEDULE A-1
#### Investments

**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)  
Investments must be itemized.  
Do not attach brokerage or financial statements.

<table>
<thead>
<tr>
<th>Name of Business Entity</th>
<th>General Description of This Business</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Alamos Gold (AGI)</strong></td>
<td>Metals &amp; mining</td>
</tr>
<tr>
<td><strong>Bristol Myers Squibb (BMY)</strong></td>
<td>Pharmaceuticals</td>
</tr>
<tr>
<td><strong>Apple Inc. (AAPL)</strong></td>
<td>IT</td>
</tr>
<tr>
<td><strong>Du Pont E.I. Du Pont de Nemours &amp; Co. (DWDP)</strong></td>
<td>Chemicals</td>
</tr>
<tr>
<td><strong>BP PLC Sponsored ADR (BP)</strong></td>
<td>Oil &amp; gas</td>
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</table>

<table>
<thead>
<tr>
<th>Fair Market Value</th>
<th>Stock</th>
<th>Other</th>
<th>Description</th>
<th>Partnership</th>
<th>Income Received of $0 - $499</th>
<th>Income Received of $500 or More (Report on Schedule C)</th>
</tr>
</thead>
<tbody>
<tr>
<td>$2,000 - $10,000</td>
<td></td>
<td></td>
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<td></td>
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<td></td>
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<tr>
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<tr>
<td>$100,001 - $1,000,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Over $1,000,000</td>
<td></td>
<td></td>
<td></td>
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</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Nature of Investment</th>
<th>Description</th>
<th>Partnership</th>
<th>Income Received of $0 - $499</th>
<th>Income Received of $500 or More (Report on Schedule C)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stock</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other</td>
<td></td>
<td></td>
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<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>If Applicable, List Date:</th>
<th>1/1/18</th>
<th>1/1/18</th>
</tr>
</thead>
<tbody>
<tr>
<td>Acquired</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Disposed</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Filer's Verification**

Print Name: Charlene L. Wardlaw  
Office, Agency or Court: DOC  
Statement Type:  
- 2018/2019 Annual  
- Assuming  
- Leaving  
- (yr) Annual  
- Candidate  

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete.  
I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.  
Date Signed: 9-11-19  
(month, day, year)  
Filer's Signature: Charlene L. Wardlaw
<table>
<thead>
<tr>
<th>NAME OF BUSINESS ENTITY</th>
<th>GENERAL DESCRIPTION OF THIS BUSINESS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Duke Energy Holdings Corp (Duk)</td>
<td>Electric Utilities</td>
</tr>
<tr>
<td>Exxon Mobil Corporation (XOM)</td>
<td>Oil &amp; Gas</td>
</tr>
<tr>
<td>Eli Lilly &amp; Co (LLY)</td>
<td>Pharmaceuticals</td>
</tr>
<tr>
<td>Exelon Corporation (EXC)</td>
<td>Electric Utilities</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Filer's Verification</th>
</tr>
</thead>
<tbody>
<tr>
<td>Print Name: Charlene L Wardelow</td>
</tr>
<tr>
<td>Office, Agency or Court: DOC</td>
</tr>
<tr>
<td>Statement Type: 2018/2019 Annual</td>
</tr>
<tr>
<td>Date Signed: 3-11-19</td>
</tr>
</tbody>
</table>

Comments:
SCHEDULE A-1
Investments
Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Investments must be itemized.
Do not attach brokerage or financial statements.

NAME OF BUSINESS ENTITY
Johnson & Johnson (JNJ)
GENERAL DESCRIPTION OF THIS BUSINESS
Consumer Durables

FAIR MARKET VALUE
☑ $2,000 - $10,000
☐ $10,001 - $100,000
☐ $100,001 - $1,000,000
☐ Over $1,000,000

NATURE OF INVESTMENT
☑ Stock
☐ Other
☐ Partnership
☐ Income Received of $0 - $499
☐ Income Received of $500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

/ / 18
ACQUIRED
/ / 18
DISPOSED

NAME OF BUSINESS ENTITY
Merck & Co Inc (MRK)
GENERAL DESCRIPTION OF THIS BUSINESS
Pharmaceuticals

FAIR MARKET VALUE
☑ $2,000 - $10,000
☐ $10,001 - $100,000
☐ $100,001 - $1,000,000
☐ Over $1,000,000

NATURE OF INVESTMENT
☑ Stock
☐ Other
☐ Partnership
☐ Income Received of $0 - $499
☐ Income Received of $500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

/ / 18
ACQUIRED
/ / 18
DISPOSED

NAME OF BUSINESS ENTITY
Kimberly-Clark Corp (KMB)
GENERAL DESCRIPTION OF THIS BUSINESS
Household Products

FAIR MARKET VALUE
☑ $2,000 - $10,000
☐ $10,001 - $100,000
☐ $100,001 - $1,000,000
☐ Over $1,000,000

NATURE OF INVESTMENT
☑ Stock
☐ Other
☐ Partnership
☐ Income Received of $0 - $499
☐ Income Received of $500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

/ / 18
ACQUIRED
/ / 18
DISPOSED

NAME OF BUSINESS ENTITY
Microsoft Corp (MSFT)
GENERAL DESCRIPTION OF THIS BUSINESS
Technology

FAIR MARKET VALUE
☑ $2,000 - $10,000
☐ $10,001 - $100,000
☐ $100,001 - $1,000,000
☐ Over $1,000,000

NATURE OF INVESTMENT
☑ Stock
☐ Other
☐ Partnership
☐ Income Received of $0 - $499
☐ Income Received of $500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

/ / 18 6/27/18
ACQUIRED
DISPOSED

NAME OF BUSINESS ENTITY
McDonald's Corp (MCD)
GENERAL DESCRIPTION OF THIS BUSINESS
Restaurants

FAIR MARKET VALUE
☑ $2,000 - $10,000
☐ $10,001 - $100,000
☐ $100,001 - $1,000,000
☐ Over $1,000,000

NATURE OF INVESTMENT
☑ Stock
☐ Other
☐ Partnership
☐ Income Received of $0 - $499
☐ Income Received of $500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

/ / 18
ACQUIRED
/ / 18
DISPOSED

Filer's Verification
Print Name: Charlene L Wardlow
Office, Agency or Court: DOC
Statement Type: ☑ 2018/2019 Annual ☐ Assuming ☐ Leaving ☐ ( ) Annual ☐ Candidate

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete.
I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed: 3-11-19
(month, day, year)

Filer's Signature: Charlene L Wardlow

Comments: ____________________________

3/6
SCHEDULE A-1
Investments
Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Investments must be itemized.

Do not attach brokerage or financial statements.

NAME OF BUSINESS ENTITY

A.  Nucor Corp  (NUE)
GENERAL DESCRIPTION OF THIS BUSINESS
metals & mining

FAIR MARKET VALUE

☑ $2,000 - $10,000 ☐ $10,001 - $100,000
☐ $100,001 - $1,000,000 ☐ Over $1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other

☐ Partnership ○ Income Received of $0 - $499
○ Income Received of $500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
1/1/18 ACQUIRED DISPOSED 1/1/18

NAME OF BUSINESS ENTITY

A. Prudential Financial Inc  (PRU)
GENERAL DESCRIPTION OF THIS BUSINESS
Finance - Life Insurance

FAIR MARKET VALUE

☑ $2,000 - $10,000 ☐ $10,001 - $100,000
☐ $100,001 - $1,000,000 ☐ Over $1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other

☐ Partnership ○ Income Received of $0 - $499
○ Income Received of $500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
6/27/18 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY

A. Prestium Resources Inc  (PVG)
GENERAL DESCRIPTION OF THIS BUSINESS
metals & mining

FAIR MARKET VALUE

☑ $2,000 - $10,000 ☐ $10,001 - $100,000
☐ $100,001 - $1,000,000 ☐ Over $1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other

☐ Partnership ○ Income Received of $0 - $499
○ Income Received of $500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
1/1/18 ACQUIRED DISPOSED 1/1/18

NAME OF BUSINESS ENTITY

A. Procter & Gamble Co  (PG)
GENERAL DESCRIPTION OF THIS BUSINESS
Household

FAIR MARKET VALUE

☑ $2,000 - $10,000 ☐ $10,001 - $100,000
☐ $100,001 - $1,000,000 ☐ Over $1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other

☐ Partnership ○ Income Received of $0 - $499
○ Income Received of $500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
1/1/18 ACQUIRED DISPOSED 1/1/18

Filer's Verification

Print Name: Charlene Wardlaw
Office, Agency or Court: DOC

Statement Type: ☑ 2018/2019 Annual ☐ Assuming ☐ Leaving (y)
☐ Annual ☐ Candidate

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed: 3-11-19 (month, day, year)

Filer's Signature: Charlene Wardlaw
SCHEDULE A-1
Investments
Stocks, Bonds, and Other Interests
(Ownership Interest is Less Than 10%)
Investments must be itemized.
Do not attach brokerage or financial statements.

NAME OF BUSINESS ENTITY
Verizon Communications (VZ)
GENERAL DESCRIPTION OF THIS BUSINESS
Telecommunication Services

FAIR MARKET VALUE
☒ $2,000 - $10,000
☐ $10,001 - $100,000
☐ $100,001 - $1,000,000
☐ Over $1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other
☐ Partnership
☐ Income Received of $0 - $499
☐ Income Received of $500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
1/1/18
ACQUIRED
1/1/18
DISPENDED

NAME OF BUSINESS ENTITY
Wells Fargo & Co (WFC)
GENERAL DESCRIPTION OF THIS BUSINESS
Banks

FAIR MARKET VALUE
☒ $2,000 - $10,000
☐ $10,001 - $100,000
☐ $100,001 - $1,000,000
☐ Over $1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other
☐ Partnership
☐ Income Received of $0 - $499
☐ Income Received of $500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
1/1/18
ACQUIRED
1/26/18
DISPENDED

NAME OF BUSINESS ENTITY
Walgreens Boots Alliance Inc. (WBA)
GENERAL DESCRIPTION OF THIS BUSINESS
Consumer Staples

FAIR MARKET VALUE
☒ $2,000 - $10,000
☐ $10,001 - $100,000
☐ $100,001 - $1,000,000
☐ Over $1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other
☐ Partnership
☐ Income Received of $0 - $499
☐ Income Received of $500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
1/1/18
ACQUIRED
1/25/18
DISPENDED

NAME OF BUSINESS ENTITY
Cisco Systems Inc. (CSCO)
GENERAL DESCRIPTION OF THIS BUSINESS
IT

FAIR MARKET VALUE
☒ $2,000 - $10,000
☐ $10,001 - $100,000
☐ $100,001 - $1,000,000
☐ Over $1,000,000

NATURE OF INVESTMENT
☒ Stock
☐ Other
☐ Partnership
☐ Income Received of $0 - $499
☐ Income Received of $500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
1/1/18
ACQUIRED
1/1/18
DISPENDED

Filer's Verification
Print Name Charelene L. Wardlow
Office, Agency DOC
or Court

Statement Type ☒ 2018/2019 Annual ☐ Assuming ☐ Leaving
☐ (S) Annual ☐ Candidate

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete.
I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 3-11-19
(month, day, year)
Filer's Signature Charelene L. Wardlow

Comments: ____________________________________________________________________________
______________________________________________________________________________________
______________________________________________________________________________________
______________________________________________________________________________________
______________________________________________________________________________________
______________________________________________________________________________________
______________________________________________________________________________________
______________________________________________________________________________________
### SCHEDULE A-1

**Investments**

*Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)*

*Investments must be itemized.*

*Do not attach brokerage or financial statements.*

<table>
<thead>
<tr>
<th>NAME OF BUSINESS ENTITY</th>
<th>GENERAL DESCRIPTION OF THIS BUSINESS</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Altia Group (MO)</strong></td>
<td>Consumer Non - Durables</td>
</tr>
<tr>
<td><strong>Coca-Cola Co., Inc. (KO)</strong></td>
<td>Food</td>
</tr>
<tr>
<td><strong>American Electric Power (AEP)</strong></td>
<td>Utility</td>
</tr>
<tr>
<td><strong>JPMorgan Chase &amp; Co.</strong></td>
<td>Banking</td>
</tr>
<tr>
<td><strong>Toronto-Dominion Bank (TD)</strong></td>
<td>Banks</td>
</tr>
</tbody>
</table>

**FAIR MARKET VALUE**

- ☑ $2,000 - $10,000
- ☑ $10,001 - $100,000
- ☑ $100,001 - $1,000,000
- ☑ Over $1,000,000

**NATURE OF INVESTMENT**

- ☑ Stock
- ☑ Other

- ☑ Partnership
- ☑ Income Received of $0 - $499
- ☑ Income Received of $500 or More (Report on Schedule C)

**IF APPLICABLE, LIST DATE:**

<table>
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<th>6/13/18</th>
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<th>1/18</th>
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<tr>
<th>NAME OF BUSINESS ENTITY</th>
<th>GENERAL DESCRIPTION OF THIS BUSINESS</th>
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</thead>
<tbody>
<tr>
<td><strong>Filer's Verification</strong></td>
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</table>

**Print Name**: Charlene L Wardlaw

**Office, Agency or Court**: DOC

**Statement Type**: 2018/2019 Annual

**Date Signed**: 3-11-19

**Filer's Signature**: Charlene L Wardlaw

**Comments**: 

Co/40